Technician Performance Appraisal Program!!

DEVELOPED BY THE HUMAN RESOURCES OFFICE

POINTS OF CONTACT

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LEARNING GOALS AND OBJECTIVES

• Log-In and navigate the My Biz/My Workplace & Performance Appraisal Application tools

• Review mission and goals statements then write three (3) critical elements for an employee using Work Center Descriptions or Position Descriptions. Enter the critical elements into the PAA software tool

• Evaluate whether or not the critical elements satisfy either the SMART or MARST criteria by collaborating with teammates to review, revise, and finalize critical elements

• Understand what a Self Assessment is and how to write one
Implementing the Alaska National Guard Technician Performance Appraisal Program
BENEFITS

• A performance appraisal system that encourages the _____ and equitable evaluation of employees based on performance and ________.

• Program shall provide for employee _______________ in program development, implementation, and application.

• A _________________________ ensures equitable and consistent application of, and compliance with, performance management requirements by all subordinate raters.
TPR 430

• Prescribes a __________ Rating Level Evaluation method.

• Clarifies the procedures, and requirements for documentation of the Performance Appraisal Program.

• Prescribes the use of the New ____________________ (Performance Appraisal), to be used with My Biz & My Workplace.

• The __________ and Responsibilities.
### RATING OF RECORD

<table>
<thead>
<tr>
<th>Average Rating Range</th>
<th>Rating of Record</th>
<th>Rating of Record Descriptor</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.51 to 5.00</td>
<td>5</td>
<td>Outstanding</td>
</tr>
<tr>
<td>3.51 to 4.50</td>
<td>4</td>
<td>Excellent</td>
</tr>
<tr>
<td>2.51 to 3.50</td>
<td>3</td>
<td>Fully Successful</td>
</tr>
<tr>
<td>2.00 to 2.50</td>
<td>2</td>
<td>Marginal</td>
</tr>
<tr>
<td>1 on any critical element</td>
<td>1</td>
<td>Unacceptable</td>
</tr>
</tbody>
</table>

Critical Element #1 = 5
Critical Element #2 = 5
Critical Element #3 = 4
## WHAT CAN YOUR RATING OF RECORD MEAN

<table>
<thead>
<tr>
<th>Performance Rating</th>
<th>Employees are eligible to receive…</th>
</tr>
</thead>
<tbody>
<tr>
<td>5 - Outstanding</td>
<td>Quality Step Increase (GS Only)</td>
</tr>
<tr>
<td></td>
<td>Sustained Superior Performance</td>
</tr>
<tr>
<td></td>
<td>Time Off Award</td>
</tr>
<tr>
<td>4 - Excellent</td>
<td>Sustained Superior Performance</td>
</tr>
<tr>
<td></td>
<td>Time Off Award</td>
</tr>
<tr>
<td>3 - Fully Successful</td>
<td>Sustained Superior Performance</td>
</tr>
<tr>
<td></td>
<td>Time Off Award</td>
</tr>
<tr>
<td>2 - Marginal</td>
<td>Counseling, Mentoring, Coaching</td>
</tr>
<tr>
<td></td>
<td>Increased Supervisory Assistance</td>
</tr>
<tr>
<td>1 - Unacceptable</td>
<td>Performance Improvement Plan (PIP)</td>
</tr>
</tbody>
</table>

VALUED PERFORMANCE SHOULD BE REWARDED
WHAT CHANGES

• Annual Appraisal Cycle: ______________________________

• Minimum of _____ Critical Elements

• Mandatory Supervisory “Critical Element”

• Rating of Record: Level 1 thru Level 5

• Mandatory _____________ Review

• Self Assessment

• New NGB Form 430 (Performance Appraisal)

• PAA accessed through _____________ & ______________
WHAT DOES NOT CHANGE

- The ______________________ Ratings and Periods
- Supervisor _________________ Performance Plans
- Below Fully Successful or Unacceptable Performance
- Performance Improvement Plans (PIP)
- The _____________ Process
# Matching Exercise

<table>
<thead>
<tr>
<th>Benefit</th>
<th>TPR 430 National Guard Technician Performance Appraisal Program</th>
</tr>
</thead>
<tbody>
<tr>
<td>NGB Form 430</td>
<td>Employees narrative of accomplishments</td>
</tr>
<tr>
<td>Outstanding</td>
<td>Higher level review that ensures fair and equitable evaluations for employees based on performance</td>
</tr>
<tr>
<td>Performance Improvement Plan</td>
<td>Below fully successful performance for one or more critical elements</td>
</tr>
<tr>
<td>Regulation</td>
<td>My Biz</td>
</tr>
<tr>
<td>Self Assessment</td>
<td>Automated Performance Appraisal form</td>
</tr>
<tr>
<td>Critical Element</td>
<td>Critical elements are written this way</td>
</tr>
<tr>
<td>SMART/MARST</td>
<td>Receive then when performance is Unacceptable</td>
</tr>
<tr>
<td>Marginal</td>
<td>An expression of performance expectations in the performance plan</td>
</tr>
<tr>
<td>Supervisors Access</td>
<td>My Workplace</td>
</tr>
<tr>
<td>Employee Access</td>
<td>Rating of Record 5</td>
</tr>
</tbody>
</table>
My Biz / My Workplace
PAA Software Familiarization!!
WHAT IS MY BIZ?

• A ______-_________ application that allows technician employees to:

  o __________ & ________ your own personal technician employment information 24/7

  o ________________ some of your personal information

  o ____________ your own SF 50s

• Employees can VIEW:
  o ________________ information
  o Position information (current and ___________)
  o Salary info
  o Awards and ____________ info
  o Benefits
  o Performance ________________
  o Personnel Actions
**WHAT IS MY BIZ?**

- Employee can MAINTAIN:
  - __________ and National Origin designation
  - Handicap code
  - Phone numbers
  - Email address****
  - Foreign Language ____________
  - ______________ Contact information
  - Education & _________________*
    - *Must be validated by HRDS
What is My Workplace?

• A self-service application that allows ____________ of technicians the ability to ______:
  o Employee personnel information
  o Personnel ________________ that have processed on their employees

• My Workplace also allows supervisors to ______ & _____________ Performance Appraisal information

• Anyone who supervises ________________ gets a My Workplace account

• Nightly interface with DCPDS to update and create accounts

Supervisors can ______ the following:
  – Position information (current & historical)
  – Salary information
  – Awards & Bonuses
  – Performance info
  – Appointment info
  – Personnel Actions
ORGANIZATIONAL GOALS AND OBJECTIVES

- **Mission:** an operation or duty assignment that is assigned by a higher headquarters

- **Derived from:** introduction paragraph of the employees position description

**Surface Maintenance Mechanic Supervisor**

**INTRODUCTION:** This position is located in the Joint Forces Headquarters—State, Logistics Directorate (J-4), Surface Maintenance Facility. **The purpose** of this position is to supervise workers directly or through subordinate leaders and/or supervisors in accomplishing work of the function. Plans work operations of great scope, complexity, and administrative authority. Determines the sequence, priority, and time for performance of operations within the limits of broad work schedules and time limits. The occupation and non-supervisory grade level which best reflects the nature of the overall work operations supervised is Surface Maintenance Mechanic, WG-5801-11.

- **Mission Objective:** IOT ensure successful and efficient operations of the FMS; determines, directs, and supervises (directly or indirectly) the sequence, priority, and time performance of assigned operations.

**MISSION - AN OPERATION OR DUTY ASSIGNMENT THAT IS ASSIGNED BY A HIGHER HEADQUARTERS**
Writing Effective Critical Elements!!
Requirements for Critical Elements

- Critical elements must be linked to the mission.
- Minimum of ______ critical elements.
- All supervisors have a ______________ critical element.
- Critical elements must be in either the ______ or ______ format.
DEVELOP CRITICAL ELEMENTS USING THE SMART FORMAT

- __________ is a framework for developing (and evaluating) Critical Elements

__pecific
__easurable
__igned
__ealistic/Relevant
__imed
CRITICAL ELEMENTS ARE SPECIFIC

- Define an observable action, behavior, or achievement
- Link to a level of performance, frequency, percentage, or other number
- Are specific regarding the description of the result (not the activities to achieve that result)

S M A R T
CRITICAL ELEMENTS ARE MEASURABLE

- Provide a method to allow tracking, recording, and validation of quality of a specific behavior, action, or outcome
- Define:
  - Quantity (how many)
  - Time (how long)
  - Quality (how good)
  - Resources (how much)

SMART
CRITICAL ELEMENTS ARE ALIGNED

- A direct connection is made between the employee’s work, unit’s goal, and the organization’s mission
- Critical Elements ensure all are working toward shared goals
- All critical elements in the organization pull in the same direction
- Managers/supervisors need understanding of their own goals, objectives and critical elements before they can work with their employees to establish theirs
CRITICAL ELEMENTS ARE REALISTIC/RELEVANT

- Realistic: Goals and objectives achievable with the resources and personnel available, and within the available time
- Relevant: Goals and objectives are important to the employee & organization
- Responsibility must be appropriate to employee’s grade

S M A R T
Critical Elements Are Timed

- Established start and/or end dates are defined
- Specific dates (e.g., March 15) are preferred over relative descriptions of time (e.g., 6 months)
- Milestones can be included

S M A R T
QUESTIONs TO CONSIDER

• Are the critical elements vague?
• Can the critical elements be measured or verified?
• Are the critical elements too complex or unnecessarily long?
• Does each critical element have a timeline?
• Do the critical elements emphasize appropriate aspects of the work?
• Are there too many or too few critical elements?
CRITICAL ELEMENTS ARE NOT TASK DESCRIPTIONS

Critical Elements:
• Focus on the results and contribution

• Describe “what” the person will accomplish

• Employee responsible for outcome

Task Descriptions:
• Focus on the tasks or activities completed

• Describe “how” the person will do the work

• Manager ultimately responsible for the outcome

• ADDITIONAL CONSIDERATIONS FOR WRITING CRITICAL ELEMENTS

• ARTICULATE EXPECTED RESULTS

  • ABSOLUTE STANDARDS

  • TOTALITY APPROACH

• LENGTH OF CRITICAL ELEMENTS
Example: SMART/ MARST Critical Element

- Complete the installation of 150 new computers in the DOIM Office, by 30 September.
- Provide a monthly progress report, with information on completed work and anticipated obstacles, in the last week of each month.
- Ensure that the DA Form 2062 issuing the new computer to the PC user is signed by the user and forwarded to the Property Book Officer in 2–3 workdays of signature.
**Specific Example**

Provides accurate and timely advice and guidance on an “as needed basis” to headquarters staff and managerial accountants in the field – resolving normal issues/inquiries in 5 – 7 business days, for more complex situations an initial response should be given in 5 – 7 business days and updated until resolved. Provides responses to questions and inquiries covering a variety of policies and directives that require the correct application of accounting principals, theories, concepts and practices to financial processes to produce accurate results and meet legal requirements.

**Measurable Example**

Provides accurate and timely advice and guidance on an “as needed basis” to headquarters staff and managerial accountants in the field – resolving normal issues/inquiries in **5 – 7 business days**, for more complex situations an initial response should be given **in 5 – 7 business days and updated until resolved**. Provides responses to questions and inquiries covering a variety of policies and directives that require the correct application of accounting principals, theories, concepts and practices to financial processes to produce **accurate results and meet legal requirements.**
**Be Realistic: Align duties with position**

- **GS07** – Under limited supervision provides accurate and timely advice and guidance on an “as needed basis” to headquarters staff and managerial accountants in the field – resolving normal issues/inquiries in 5 – 7 business days. Prepares responses for supervisor’s review, to questions and inquiries covering a variety of policies and directives that require the correct application of accounting principles, theories, concepts and practices to financial processes to produce accurate results and meet legal requirements.

- **GS11** – Provides accurate and timely advice and guidance on an “as needed basis” to headquarters staff and managerial accountants in the field – resolving normal issues/inquiries in 5 – 7 business days, for more complex situations an initial response should be given in 5 – 7 business days and updated until resolved. Independently prepares responses to questions and inquiries covering a variety of policies and directives that require the correct application of accounting principles, theories, concepts and practices to financial processes to produce accurate results and meet legal requirements.
Writing Effective Self Assessments!!
SELF ASSESSMENT

• ____________ for yourself is an opportunity to highlight your most __________ achievements, offer your __________ on your performance, and present details of achievements and obstacles overcome.

• You will NOT be rated on your __________ __________.

• Review ___________ of your work.

• Establish a ____________ ____________.

• Follow the ___ ___ ___ ___ format.
Exercise 1

Rank and rate the self assessments from 1-4, 1 being the best.

Position: Budget Analyst

Job Objective: By September 30, review and analyze between 20 and 25 budgets and associated reports to identify areas that require attention and additional work. As a result of this effort, budgets will be accurate and submitted in a timely manner, which allows the organization to have the resources necessary to accomplish its goals. Budgets are reviewed and analyzed IAW JFMN Regulation 37-02.

1. Self Assessment: For Fiscal Year 2010, I was at work on time every single day with the exception of the few times that my child was sick. I did not take any sick days, I came into work even when I was not feeling well because I believe in the mission. I track all the money that comes in and out and make sure that we do not go over in any areas. When it is time to purchase office supplies, I always made sure to wait for a sale and utilize my military discount to save the organization money. I rarely take lunch breaks or my 15 minute breaks. I only leave my desk when I have to use the restroom, and I try to run there and back.

2. Self Assessment: For fiscal year 2010, I reviewed 30 budgets and associated reports for the assigned departments. I selected and applied appropriate analytical methods and techniques to research and analyze available information and evaluate results for possible affects on program plans and funding. I analyzed trends and data information independently for each budgeted line item relative to the allotted budget, the amount of money spent, and the spending over same period last year. I also reviewed and analyzed continuing changes in program plans and/or funding throughout the year and recommended adjustments to budget estimates. I worked closely with project managers, technical subject matter specialists, management/program analysts, personnel management specialists, and other budget and financial analysts at various levels to obtain required financial information, review associated staffing and organizational needs, and conduct special studies of funding requirements to ensure the availability of funds. I identified areas that were underfunded and assisted managers in forecasting their budget for the next year.

3. Self Assessment: I was publicly recognized during a conference and by a personal note from the Commander for the outstanding administrative and logistical support provided by my team which contributed to the overall success of annual conference. We stayed within budget and that lead to the overall success of the organization. I identified a 40 percent savings in capital equipment expenditures for the installation, a 10 percent savings in operating expenses, and a 5 percent savings in personnel expenses, and budgets were accurate and submitted in a timely manner that allowed the organization to have the resources necessary to accomplish its goals.

4. Self Assessment: I am the hardest working employee in the budget section. All my numbers add up and I always have money left over by September 30th. I am awesome to say the least.
NICE TO KNOW!!
MY BIZ/MY WORKPLACE USER GUIDE

A step-by-step guide for logging into My Biz/My Workplace through the DCPDS Portal
**DCPDS Portal:**
https://compo.dcpds.cpms.osd.mil

**Register your CAC first!**

** ***USE SSN WITH HYPHENS*** as your default user-ID**

**Additional resources:**
- Contains User guide, FAQs, etc.
1. Enter web address (save to Favorites)

2. Click "CAC Registration"

*NOTE: After the initial CAC registration process, you’ll click Login to enter in the future
3. Enter SSN with hyphens twice
Click Register
4. Enter SSN with hyphens again (unless you've been given a unique username by the HRS(IS) – this is rare).

Click Submit
5. Click Continue

You can click “Do not show this again” to bypass this screen in the future.
6. Click on the My Biz (or My Workplace - supervisors only) link to enter
7. From here you can view or update your information and enter the Performance Appraisal Application (PAA)
This is a view of the "My Information" screen.

Navigate to each area by clicking the links on top or bottom.

Always Log out when finished.
This is a view of the new "PAA" main page

My Journal Feature — this is a private tab you can use to keep track of accomplishments, projects worked on, etc. throughout the year.

Create a new performance plan here
FAQS

• What is my user-ID/password?
  • The default is your SSN with dashes — you should only need this on your initial login until you register your CAC.

• Why can’t I see a My Workplace link?
  • Do you supervise technicians? If yes, contact HRO to make sure your organization’s hierarchy is correct. If no, you will not have a My Workplace account.

• Why can’t I see all of my employees?
  • Only technicians will show up in My Workplace. If you have technician employees that aren’t visible, contact HRO for steps on updating your organization’s hierarchy.

• Why can’t I update my information?
  • To update information you must click the “Update My Information” link. Clicking on “My Information” is view only.
INTRODUCTION

This position is located in a Company/Battery/Troop or Detachment size unit of the Army National Guard. Primary purpose of this position is function as the assistant to the full-time support member responsible for preparation, documentation, and management of military training for the organization.

DUTIES AND RESPONSIBILITIES

1. Prepares training plans, directives, procedures, reports, and assessments for the unit and individuals assigned. Assists in the preparation of individual training records, operation orders, mobilization plans, SOP’s, and readiness reports. Assists with preparation for unit yearly training workshops and monthly unit training meetings. Prepares training schedules and plans for approval of commander and higher headquarters. Maintains training guidance and documents as required by higher headquarters. Prepares training and evaluation outlines and lesson plans. Prepares automated requests for orders. Prepares correspondence for approval by commander or higher level supervisor. Assists in the coordination of training programs for the unit to include the scheduling of training areas, obtaining equipment and other materials required for testing of personnel and training scorer/evaluators or test officers. Procures, designs, reproduces, and distributes a variety of training aids, map overlays, and transparencies that are necessary to unit personnel for the efficient operation and training of the unit.

2. Assists, as required, with the administration of the military school program of the unit. Assists in the accountability of school quotas, training support man days and other training resources as allocated to the unit. Prepares and forwards requests for training for unit members ensuring applicants are eligible to attend the school requested and all prerequisites have been met. Prepares or requests the appropriate personnel actions (e.g. ASI or MOS award) upon completion of required training and other qualifications).

3. Prepares requests for equipment and training support from higher, adjacent, and other military commands. Required, as directed, to operate and maintain indoor firing range facility. Assembles and maintains statistics on weapons qualification, crew qualifications/table certifications, Army Physical Fitness Test, weight control, Common Task Testing, Military Occupational Skill Qualification and other critical data as required. Assists in the training of unit leaders on how to maintain leader books and other training material as directed by the command.

4. Assists, as required, in a variety of community support functions such as, armory security coordination with police, suitability investigations, armory rentals, and unit participation in community celebrations, or fund raising drives, etc. As directed, attends meeting as a representative to effectively coordinate the National Guard’s participation in civic activities.

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INTRODUCTION:

This position is located in a National Guard Surface Maintenance Facility. Its purpose is taking charge of and independently operating a stockroom or storage yard for tools and parts used in a trades operation; determining, identifying and selecting for issue items described by users in terms of their intended usage; recommending possible substitutes and interchangeable items; determining work sequences, methods, procedures, and techniques for setting up and shifting storage locations; and determining when tools and equipment should be routed to appropriate shops for test, repair, or calibration. May direct and oversee the work of other assigned lower graded personnel where the function is too small to support a full supervisor.

DUTIES:

1. Identifies user’s tools, parts, equipment, and requirements and requisitions stock as needed. Watches and reports items that are in short supply because of breakage or because they are frequently out for repair. Recommends possible substitute or interchangeable items. Sets up and maintains storage locations. Determines methods of storage, identification, and stock location, considering such factors as temperature, humidity, height and weight limits, turnover, floor loading capacities, space available, and convenience of handling items. Compiles records concerned with quantity, cost, and type of material received, stored, and issued in a department, establishment, account, or on a particular job.

2. Prepares periodic inventory and determines the basis for over, short, or misplaced items by checking such references as out-for-repair, issue, and turn-in records maintained in the tools and parts room. Compiles reports of use of stock handling equipment, adjustments of inventory counts and stock records, spoilage of or damage to stock, location changes, and refusal of shipments.

3. Ensures that tools and equipment are maintained in good condition by performing visual and operating checks, taking measurements with such devices as calipers, and receiving reports from users on the nature and extent of damage to tools and equipment. May make minor repairs to tools and equipment, determine when damaged and inoperative items are too costly to repair, and report damaged or worn-out equipment to superiors.

4. Determines stock replenishment levels for centrally controlled supplies and other material within funding limitations. Assures that supplies and monies are not wasted through excess accumulation, and that items are on hand in sufficient quantities and at the time required. In addition to established guides and formulas, determines quantities required and timely stock replenishment.

5. Receives a wide variety of supplies and specialized items requiring special handling. Ensures that received items are properly stored in assigned locations. Reviews requisitions for completeness and compliance with regulations, and revises quantities ordered based on number on hand. Recommends substitution when supply items are not available.
**EXAMPLE CRITICAL ELEMENTS**

- Complete the installation of 150 new computers in the DOIM Office, by the second week of September.

- Provide a monthly progress report, with information on completed work and anticipated obstacles, in the last week of each month.

- Ensure that the DA Form 2062 issuing the new computer to the PC user is signed by the user and forwarded to the Property Book Officer in 2-3 workdays of signature.

- Establishes and maintains a system to manage course planning, scheduling of resources, advertising and publicizing training opportunities, registering and documenting instances of training in DCPDS each FY, IAW TPR 400.

- Provides advice and assistance to managers and supervisors on HRD Issues and career counseling to technicians regarding T&D Opportunities each FY, IAW TPR 400.

- Within the next six months, the employee will define requirements for customer for an access system; develop a proposed systematic solution to meet requirements; and obtain computational processes, and quality assurance procedures.

- By the end of the second quarter of the fiscal year, reduce the rejection rate for registration forms from the 2006 FY reported level of 8 percent to a maximum of five percent, by redesigning the registration form.

- Confirm that each computer being installed has been properly configured with Windows XP Professional and Microsoft Office Professional. On the job training will be provided to each PC user on the operation and functionality of both Windows and Office with an explanation of the differences.

- Assist analysts in running reports from the database and compiling accurate equipment date for weekly metrics report to include distributions of equipment by scheduled date of delivery and location.

- Confirm that each installed computer has been configured with Windows XP Professional and Microsoft Office Professional. On the job training will be provided to all users and provide a clear understanding of the programs.

- Assists the HR Manage in extracting weekly, bi-weekly, quarterly and annual reports from 15 databases that identify WGI, FEHB, FEGLI, LQA, and TSP mismatches. Distribute reports to appropriate personnel and provide personnel with weekly suspenses. The data identified in error must be corrected in the database within 2-3 of determination. If error impacts employee pay or benefits, prepare “payroll workaround” and coordinate with payroll, so employees’ pay or benefits are further impacted.

- Maintains supervisors travel schedule and work plans on a regular basis. Schedules travel plans within 48 hours of request. Update calendar if appointments change or need to be made. Documentation filing must be accomplished at the end of every day so other staff members will always have access to the most current information. Attend weekly staff meeting – prepare staff meeting notes, make copies, and distribute to all staff members.
Example Critical Elements Cont:

- Mission of organization is to enhance our customers’ business by providing the very highest quality products and services possible. Our customer support strategy is based upon total, no-compromise customer satisfaction and we continually strive to offer a complete package of up-to-date value added solutions to meet our customers’ needs. We value all our long-term customer relations.

- To bring together appropriate health, medical, research, political, and insurance division of all governmental concerns to focus on finding a cure for emphysema and related diseases. Promote actions, which would bring about more specifically effective treatments.

- The mission of organization is to provide our federal workforce with the necessary tools, counseling, grants, student loans, etc., regarding education. Provide the highest quality products and services as possible. Customer satisfaction is very important and we will strive to offer a complete package of up to date information to meet our customers’ needs.

- As directed, and IAW local procedures, recovers, and evaluates damaged/inoperable vehicles and equipment for salvage. Employs necessary equipment to safely facilitate towing or lifting to move and secure equipment.

- As directed, and IAW plans and published standards; lays out work from blueprints, sketches, drawings and work orders, repairs, modifies and fabricates all types of metal and alloy parts and equipment by use of electric, gas or insert gas-shielded welding processes. Responsible for determining work sequence; selects proper materials and processes to be applied; sets up and adjusts equipment, and uses templates in measuring, marking, scribing and cutting. Has the ability to weld, in vertical, horizontal, flat and overhead positions, items of various sizes and shapes, frames, flame-cuts, beads, heat-treats, pressure and tack welds. Uses hand and power tools of the welding trade. All welds are subject to final X-ray analysis, magnaflux inspection, dye check, water or gas tight pressure and other tests. DA Forms 2404/2407 AHN-018 are completed and turned in. No more than two pieces of equipment should be return from QA due to improper repair or installation during rating period.

- IAW AR, local regulations, shop SOP, and directives, shop personnel and actions must comply with safety procedures. Responsible for maintaining a clean work area; inspects the shop area for hazards. Safely handles objects weighing up to 9 kilograms (20 pounds) and occasionally objects weighing up to 23 kilograms (50 pounds). No “lost time” accidents due to failure to observe safety procedures or correct safety hazards. Work area and tool will be cleaned daily.

- As directed, guides skill development of helpers through demonstrating proper maintenance procedures, responding to questions, and providing the helpers increasingly more difficult tasks. Successfully completes at least one training demonstration during the rating period.
What is a Self Assessment?

A self assessment allows the employee the opportunity to describe how his or her accomplishments met the critical elements and performance standards and contributed to the achievement of the organization's mission and goals. It should provide the supervisor with a clear picture of your performance and achievements during the rating period.

Self Assessments are optional but highly encouraged. You may submit a self assessment at the interim performance review (usually at the midpoint of the rating cycle) and at the end of the rating cycle as part of your annual performance appraisal.

Getting Started... Before you begin to record your performance accomplishments, you must have a clear understanding of what your supervisor expects of you. “Performance Expectations” include, written critical elements and performance standards, but also other regulations, policies, procedures, guidelines, processes that you are expected to follow in performing your assignments. Be sure that you understand these expectations—ask questions if you do not.

Establishing a Recording System

Create a system for recording your accomplishments. Identify the critical element which is linked to the accomplishment.

Some suggestions include:
- E-mail Folder (keep separate folder for performance related items)
- Word Document, updated as needed
- Notes on Planner or Calendar
- File folder(s) to maintain copies of documents

What to Keep...

- Maintain a file of work products. (E.g.: copies of reports, studies, advisories, operating procedures, training materials or other documents you developed.)
- Statistical data or other metrics which apply to your work.
- Copies of letters, memos or email correspondence which express thanks or praise for a service or product you provided. Correspondence which documents your role in resolving a complex or controversial issue should also be maintained.

Writing Your Self Assessment: Enter your self assessment (interim and annual) into the automated Performance Appraisal Application Tool.

1. Limited Space in the Automated Tool
   - Interim Review: 1000 characters
   - Annual Assessment: 2000 characters
2. Review your critical elements.
3. Review your record of accomplishments -- determine which are the most and significant in terms of contribution to the mission and organizational goals.
4. Have at least one accomplishment for each critical element. It is also possible that a single accomplishment may apply to more than one critical element.
Characteristics of Significant Accomplishments:

- Difficult
- Controversial
- One of a Kind
- First Time
- High Visibility
- Large Volume of Work
- Short Deadlines
- Competing Priorities
- Require Innovation
- Scope and Impact

Use the STAR Format:

S. What **Situations** did you face?
T. What **Tasks** did you accomplish?
A. What **Activities or Actions** did you take?
R. What were the **Results** of your efforts on the mission or organizational goals?

Sample Critical Element

**Critical Element #1** Action Officer for planning and organizing the Annual Regional Director’s Conference, scheduled 15 Aug 2010 for 100 executives. Responsible for independently researching and coordinating with internal and external agencies to procure lodging, conference facilities, IT audio/media support, an administrative team, and transportation; ensuring requirements do not exceed planned 15K budget. Develops a conference planner to update supervisor of progress bimonthly (Oct – Mar); biweekly (May – Jul); daily 1-14 Aug. Report or refer complex situations to supervisor within 2 days of incident, and daily email updates until resolved. Prepares and submits a written project after-action report within 5 workdays after the end of conference, and contract close-outs.

Sample Self Assessment

**Critical Element #1**: Action Officer for the 2010 Annual Regional Director’s Conference. I led the team which planned the annual regional directors’ conference. I negotiated with the hotel for a reduced room rate and free meeting room. I drafted the meeting agenda which was approved by the Director. I arranged for guest speakers and assigned sponsors for each of them. I reviewed all materials prior to printing to ensure accuracy and proper format and arranged for reproduction despite reduced funding and a shortened deadline. I was publicly recognized during the conference and by a personal note from the Deputy Director for the outstanding administrative and logistical support provided by my team which contributed to the overall success of annual regional director’s conference.
Summary: The employee self assessment is a critical part of the Performance Appraisal Program. It provides an opportunity for you to highlight your significant accomplishments in terms of your critical elements.

- It requires focusing on results, i.e., impact on organizational mission and goals.
- The substance of your self assessment is more important than your writing style.
- Your self assessment is reviewed by your rating official and is considered by your supervisor in preparing your annual assessment.
- The self assessment also provides an opportunity for communication between you and your rating official on your performance, on his or her performance expectations and on recommendations for improving your self assessment for the next rating period.

Self Assessments... are recorded in the Performance Appraisal Application tool, accessible via DCPDS My Biz and My Workplace.

Please contact your local Human Resources Office for assistance with the Performance Management program or the Performance Appraisal Application (PAA) tool.
Journal

Self Assessment

Documenting everything an employee accomplishes during the appraisal period is not expected. Employees are encouraged to keep a journal throughout the appraisal period to record significant activities as they occur. The journal makes the self assessment activity easier to complete and keeps the self assessment specific and relevant to critical elements.

**Documenting Tips:**

1. Spend 15 minutes each Friday to review and record the week’s accomplishments and short falls.
2. Devote an hour during the last week of the month to a similar analysis of the month’s successes and failures.
3. Commit a day at the middle and end of the performance cycle to review weekly and monthly results.
4. To facilitate writing your self assessment, consider maintaining a record of your achievements throughout the entire year.

**Highlight your most significant achievements for the week or month:**

________________________________________________________________________________________

________________________________________________________________________________________

________________________________________________________________________________________

________________________________________________________________________________________

**Make the connection between what you did and why that helps your organization:**

________________________________________________________________________________________

________________________________________________________________________________________

________________________________________________________________________________________

________________________________________________________________________________________

**Cite instances where your actions or conduct exemplified your critical elements:**

________________________________________________________________________________________

________________________________________________________________________________________

________________________________________________________________________________________

________________________________________________________________________________________
Describe areas of your job in which you have grown significantly, made progress on past challenges and/or have been able to use new learning’s for professional growth: 

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
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________________________________________________________________________
________________________________________________________________________

Describe any notable obstacles you encountered in fulfilling the expectations of your position during the week or month. Can you suggest ways to remove those obstacles? Did you?

________________________________________________________________________
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What are the areas in which you would like to grow professionally and what kind of support, training and/or resources would you need? ____________________________________________________________________________
________________________________________________________________________
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How do I...Complete Activities Associated with My Performance Plan?

Create My Performance Plan

1. Begin at the Performance Appraisal Application Main Page.
2. Select 'National Guard (Title 32)' from the 'Choose a Plan Type' drop down menu.
3. Select the 'Go' button to display the Create Performance Plan: Setup Details Page.
4. Review and make any necessary changes to the default values.
5. Select the 'Build New Plan' button or 'Copy an Existing Plan'.
6. Select the 'Next' button to continue the process or select the 'Logout' link to end your session.

Add Mission Goals

1. Select the Mission Goals Tab.
2. Enter your organization's goals and priorities.
   
   If information is not included about your organization’s goals and priorities, you are encouraged to provide this information as it helps you write effective Critical Elements (Job Objectives) that align with your organization's goals and priorities. If you need assistance, speak with your supervisor.
   
   You may type directly into the text box or copy and paste from another document.

3. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.

Add Critical Elements (Job Objectives)

1. Select the Critical Elements (Job Objectives) Tab.
2. Select the 'Add Critical Elements (Job Objectives)' button to create a new Critical Elements (Job Objectives).
3. Enter the Critical Elements (Job Objectives) Title, it should be meaningful.
4. Change the Start Date.
5. Enter your draft Critical Elements (Job Objectives) text.
6. Select one of the following buttons.
   
   • Select 'Save' periodically to save the Critical Elements (Job Objectives) and continue typing.
   • Select 'Save and Add Another Critical Elements (Job Objectives)' to save and begin writing another Critical Elements (Job Objectives).
   • Select 'Save and Return to Critical Elements (Job Objectives) Tab' when you are finished entering Critical Elements (Job Objectives).

7. After you have completed adding Critical Elements (Job Objectives) and selected 'Save and Return to Critical Elements (Job Objectives) Tab', proceed to the Transfer My Performance Plan to My Rating Official section of this guide to continue with the process or select the 'Logout' link to end your session.
Transfer My Performance Plan to My Rating Official

Important Note: The 'Transfer to Rating Official' button appears on many tabs.

1. Begin at the Performance Appraisal Application Main Page.
2. Select 'Transfer to Rating Official' from the 'Action' drop down menu for the plan listed and select the 'Go' button.
3. Type an e-mail message to your Rating Official indicating that you are transferring the Performance Plan, if you want to use the e-mail option.
4. Select the appropriate 'Transfer to Rating Official' button at the top of the e-mail Notification Page.

Copy an Existing Plan

1. Begin at the Performance Appraisal Application Main Page.
2. Create a new Performance Plan by selecting 'National Guard (Title 32)' from the 'Choose a Plan Type' drop down menu, then selecting the 'Go' button, which will display the Plan Details Tab Page.
3. Review and make any necessary changes to the default values.
4. Select the 'Copy an Existing Plan'.
5. Select the 'Find' button to search for an approved plan to copy.
6. Select the 'Copy' button for the plan you would like to copy.

Delete Critical Elements (Job Objectives)

Important Note: Once your Critical Elements (Job Objectives) is approved, it can only be deleted by your Rating Official.

1. Begin at the Performance Appraisal Application Main Page.
2. Open an existing Performance Plan by selecting 'Update' from the 'Action' drop down menu for the plan listed and select the 'Go' button.
3. Select the Critical Elements (Job Objectives) Tab to list your Critical Elements (Job Objectives).
4. Select the 'Delete' icon for the Critical Elements (Job Objectives) you want to delete.
5. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.

Update Critical Elements (Job Objectives)

Important Note: You must have ownership of your Performance Plan to update Critical Elements (Job Objectives).

1. Begin at the Performance Appraisal Application Main Page.
2. Open your current Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.
3. Select the Critical Elements (Job Objectives) Tab to list your Critical Elements (Job Objectives).
4. Select the 'Update' button for the Critical Elements (Job Objectives) you want to update.
5. Update any of the Critical Elements (Job Objectives) information.

- Critical Elements (Job Objectives) Title
- Start Date
- Critical Elements (Job Objectives) Text
6. Select one of the following buttons when your Critical Elements (Job Objectives) information is complete.

- Select 'Save' to save the Critical Elements (Job Objectives) and continue writing.
- Select 'Save and Update another Critical Elements (Job Objectives)' to save and begin updating or adding another Critical Elements (Job Objectives).
- Select 'Save and Return to Critical Elements (Job Objectives) Tab' when you are finished updating Critical Elements (Job Objectives).

7. After you have completed updating Critical Elements (Job Objectives) and selected 'Save and Return to Critical Elements (Job Objectives) Tab', select the 'Next' button to continue with the process or select the 'Logout' link to end your session.

**Acknowledge My Performance Plan**

1. Begin at the Performance Appraisal Application Main Page.
2. Open your current Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

*Note:* The PAA Status will be 'Plan Approved'.

3. Select the Approvals and Acknowledgments Tab.
4. In 'Step 4: Employee - Acknowledgment', select the 'Acknowledge Receipt' button.
5. Select the 'Return to Main Page' button to begin a new process or select the 'Logout' link to end your session.

**How Do I...Complete My Interim Review?**

**Create My Self-Assessment for My Interim Review**

1. Begin at the Performance Appraisal Application Main Page.
2. Open your current Performance Plan by selecting 'Update' from the 'Action' drop down menu for the plan listed and select the 'Go' button.

*Note:* The PAA Status is 'Plan Approved'.

3. Select the Interim Reviews Tab.
4. Select the 'Create Interim Review' button.
5. Select the radio button for the Critical Elements (Job Objectives) for which you want to write an assessment.
6. Type your assessment into the 'Employee Self-Assessment' box.
7. Repeat Steps 5 and 6 until each assessment is complete.
8. Select the 'Return to Interim Reviews Tab' button at the top of the screen when you have completed entering your self-assessment for all your Critical Elements (Job Objectives).
10. Type an e-mail message to your Rating Official requesting a review, if you want to use the e-mail option.
11. Select the appropriate 'Transfer to Rating Official' button at the top of the E-mail Notification Page.
Acknowledge My Completed Interim Review

1. Begin at the Performance Appraisal Application Main Page.
2. Open your current Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

Note: The PAA Status will be 'Interim in Progress'.

3. Select the Approvals and Acknowledgments Tab.
4. In 'Step 4: Employee - Acknowledgment', select the 'Acknowledge Receipt' button.
5. Select the 'Return to Main Page' button to begin a new process or select the 'Logout' link to end your session.

How Do I...Complete My Annual Appraisal?

Create My Self-Assessment for My Annual Appraisal

1. Begin at the Performance Appraisal Application Main Page.
2. Open your current Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

Note: The PAA Status is 'Interim Review Completed'.

3. Select the Appraisal Tab.
4. Select the radio button for the Critical Elements (Job Objectives) for which you want to write an assessment.
5. Type your self-assessment into the 'Employee Self-Assessment' box.
6. Select the 'Return to Appraisal Tab' button at the top of the screen when you have completed entering your self-assessment for all your Critical Elements (Job Objectives).
7. Transfer your Annual Appraisal to your Rating Official by selecting the 'Transfer to Rating Official' button on the Appraisal Tab Page.
8. Type an e-mail message to your Rating Official requesting a review, if you want to use the e-mail option.
9. Select the appropriate 'Transfer to Rating Official' button at the top of the E-Mail Notification Page.

Acknowledge My Completed Annual Assessment

1. Begin at the Performance Appraisal Application Main Page.
2. Open your current Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

Note: The PAA Status is 'Completed'.

3. Select the Appraisal Tab.
4. Select the Approvals and Acknowledgments Tab.
5. In 'Step 4: Employee - Acknowledgment', select the 'Acknowledge Receipt' button.
6. Select the 'Return to Main Page' button to begin a new process or select the 'Logout' link to end your session.
How Do I...Complete My Closeout Assessment?

Note: You only complete a Closeout Assessment if you change your Rating Official.

Create or Update My Self-Assessment for My Closeout Assessment

1. Begin at the Performance Appraisal Application Main Page. If you are already in the PAA, select the 'Return to Main Page' button at the top right of the screen.
2. Open your current Performance Plan by selecting 'Update' from the 'Action' drop down menu.

Note: The PAA Status is 'Interim Review Completed' or 'Plan Approved'.

3. Select the Other Assessments Tab.
4. Select the Assessments Tab.
5. Select the radio button for the Critical Elements (Job Objectives) for which you want to write an assessment.
6. Type your self-assessment into the 'Employee Self-Assessment' box.
7. Select the 'Return to Closeout Assessment' button at the top of the screen when you have completed entering your self-assessment for all your Job Objectives.
8. Transfer your Closeout Assessment to your Rating Official by selecting the 'Transfer to Rating Official' button on the Other Appraisal Tab Page.
9. Type an e-mail message to your Rating Official requesting a review, if you want to use the email option.
10. Select the 'Transfer to Rating Official' button at the top of the page.
11. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.

Acknowledge My Closeout Assessment

1. Begin at the Performance Appraisal Application Main Page. If you are already in the PAA, select the 'Return to Main Page' button at the top right of the screen.
2. Open your current Performance Plan by selecting 'Update' from the 'Action' drop down menu.

Note: The PAA Status is 'Interim Review Completed' or 'Plan Approved'.

3. Select the Other Assessments Tab.
4. Select the Approvals and Acknowledgments Tab.
5. In 'Step 4: Employee Acknowledgment', select the 'Acknowledge Receipt' button.
6. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.
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How do I...Complete Performance Plan Activities?

Create an Employee's Performance Plan

1. Begin at the Performance Appraisal Application Main Page.
2. Create a new Performance Plan by selecting 'National Guard (Title 32)' from the 'Choose a Plan Type' drop down menu, then selecting the 'Go' button, which will display a list of employee’s to choose from.
3. Select the 'Create' button for the employee you would like to create a performance plan.
4. Select the 'Build New Plan' button or 'Copy an Existing Plan'.
5. Select the 'Next' button to continue the process or select the 'Logout' link to end your session.

Copy an Existing Plan

1. Begin at the Performance Appraisal Application Main Page.
2. Create a new Performance Plan by selecting 'National Guard (Title 32)' from the 'Choose a Plan Type' drop down menu, then selecting the 'Go' button, which will display the Plan Details Tab Page.
3. Review the information and make any necessary changes to the default values.
4. Select the 'Copy an Existing Plan'.
5. Enter the employee name that you would like to copy the plan from and select the 'Find' button.
6. Select the 'Copy' button for the plan you would like to copy.

Update Setup Details

1. If the information is not correct:
   - Select the 'Calendar' icon next to the date to change it. Select a new date.
   - Select the 'Change Rating Official or Higher Level Reviewer' button to change the Rating Official or the Higher Level Reviewer.
2. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.

Add Mission Goals

1. Select the Mission Goals Tab.
2. Enter or review your organization’s goals and priorities.
   - You are encouraged to provide this information as it helps your employee(s) to write effective Job Objectives that align with your organization’s goals and priorities. You may type directly into the text box or copy and paste from another document.
3. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.
Add Job Objectives

1. Select the Job Objectives Tab to review the employee's Job Objectives.
2. Select the 'Add Job Objective' button to create a new Job Objective.
3. Enter the Job Objective Title.
4. Change the Start Date if the default value is incorrect.
5. Enter your draft Job Objective text.
6. Select one of the following buttons.
   - Select 'Save' to save the Job Objective and continue writing.
   - Select 'Save and Add Another Job Objective' to save and begin writing another Job Objective.
   - Select 'Save and Return to Job Objective Tab' when you are finished entering Job Objectives.

7. After you have completed adding Job Objectives and selected 'Save and Return to Job Objectives Tab', select the 'Next' button to continue with the process or select the 'Logout' link to end your session.

Delete Job Objectives

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.
3. Select the 'Plan Tab' to list the employee's Performance Plans.
4. Select the 'Update' button in the 'Action' column for the selected Performance Plan.
5. Select the Job Objectives Tab to list the employee's Job Objectives.
6. Select the 'Delete' icon for the Job Objective you want to delete.
7. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.

Update Job Objectives

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.
3. Select the 'Plan Tab' to list the employee's Performance Plans.
4. Select the 'Update' button in the 'Action' column for the selected Performance Plan.
5. Select the Job Objectives Tab to list the employee's Job Objectives.
6. Select the 'Update' button for the Job Objective you want to update.
7. Update any of the Job Objective information.
   - Job Objective Title
   - Start Date
   - Job Objective Text
8. Select one of the following buttons.
   - Select 'Save' to save the Job Objective and continue writing.
   - Select 'Save and Update Another Job Objective' to save and begin updating or adding another Job Objective.
   - Select 'Save and Return to Job Objective Tab' when you are finished updating Job Objectives.

9. After you have completed updating Job Objectives and selected 'Save and Return to Job Objectives Tab', select the 'Next' button to continue with the process or select the 'Logout' link to end your session.
**Adjust Optional Job Objective Weights**

1. Begin at the **Performance Appraisal Application Main Page**.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.
3. Select the **Plan Tab** to list the employee's Performance Plans.
4. Select the 'Update' button in the 'Action' column for the selected Performance Plan.
5. Select the **Job Objectives Tab** to list the employee's Job Objectives.
6. Enter the Optional Weights for each Job Objective by using the drop down menu in the 'Weights % Optional' column. You can also just type the Weight Percentage in the drop down box.
7. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.

**Approve Job Objectives**

1. Begin at the **Performance Appraisal Application Main Page**.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

*Note: The PAA Status is 'Plan in Progress'.

3. Review employee's mission goals by selecting the **Mission Goals Tab**.
4. Select the **Job Objectives Tab** to list and review the employee's Job Objectives.
5. Select the radio button next to each Job Objective to display the Job Objective.
6. Select the **Approvals and Acknowledgments Tab**.
7. In 'Step 1: RO - Request or Document HLR' select the 'Start' button.
8. To Request Higher Level Review, choose Option A.
   - Change the name of the Higher Level Reviewer, if necessary.
   - Enter a notification message to the Higher Level Reviewer.
   - Select the 'Transfer to HLR with E-mail notification' button or select 'Transfer to HLR without E-mail notification' button.

9. To Document Higher Level Review, choose Option B.
   - Enter the Review Date.
   - Enter the Method of Review. If the Method is 'Other' complete the 'Other' text field.
   - Change the name of the Approver (the Higher Level Reviewer).
   - Select the 'Save' button.

10. Select the highlighted 'Start' button to continue with the process or select the 'Logout' link to end your session.

**Re-Approve Job Objectives**

1. Begin at the **Performance Appraisal Application Main Page**.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

*Note: The PAA Status is 'Plan in Progress'.

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3. Review employee’s Performance Plan details and mission goals by selecting the Plan Details Tab and then the Mission Goals Tab.
4. Select the Job Objectives Tab to list and review the employee’s Job Objectives.
5. Select the radio button next to each Job Objective to display the Job Objective.
6. Select the Re-Approval of Job Objectives Tab.
7. If Step 1 is required by your state, in ‘Step 1: RO - Request or Document HLR’ select the ‘Start’ button.
8. To Request Higher Level Review, choose Option A.
   • Change the name of the Higher Level Reviewer, if necessary.
   • Enter a notification message to the Higher Level Reviewer.
   • Select the ‘Transfer to HLR with E-mail notification’ button or select ‘Transfer to HLR without E-mail notification’ button.

9. To Document Higher Level Review, choose Option B.
   • Enter the Review Date.
   • Enter the Method of Review. If the Method is ‘Other’ complete the ‘Other’ text field.
   • Change the name of the Approver (the Higher Level Reviewer).
   • Select the ‘Save’ button.

10. If Step 1 is not required by your state, select the ‘Start’ button in ‘Step 3: Rating Official - Document Communication to Employee’.
    • Enter the date the communication to the employee occurred in the ‘Communication Date’ field.
    • Select the Communication Method from the ‘Communication Method’ drop down menu. If the Method is ‘Other’ complete the ‘Other’ text field.
    • Select the ‘Save and Transfer to Employee for Acknowledgment’ button.

11. Select the ‘Next’ button to continue with the process or select the ‘Logout’ link to end your session.

**Request or Document Higher Level Reviewer (HLR) Approval**

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee’s existing Performance Plan by selecting ‘Update’ from the ‘Action’ drop down menu and elect the ‘Go’ button.

*Note:* The PAA Status is ‘Plan in Progress’.

3. Select the Plan Tab to list the employee’s Performance Plan.
5. Select the Approvals and Acknowledgments Tab.
6. Select the ‘Start’ button in ‘Step 1: Rating Official - Request or Document Higher Level Review (Optional)’
7. To Request Higher Level Review, choose Option A.
   • Change the name of the Higher Level Reviewer, if necessary.
   • Enter a notification message to the Higher Level Reviewer.
   • Select the ‘Transfer to HLR with E-mail notification’ button or Select ‘Transfer to HLR without E-mail notification’ button.
8. To Document Higher Level Review, choose Option B.
   - Enter the Review Date.
   - Enter the Method of Review. If the Method is 'Other' complete the 'Other' text field.
   - Change the name of the Approver (the Higher Level Reviewer).
   - Select the 'Save' button.

9. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.

**Document Communication of the Performance Plan to the Employee after HLR Approval**

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

   **Note:** The PAA Status is 'Plan Approved by HLR'.

3. Select the 'Update' button in the 'Action' column for the selected Performance Plan.
4. Select the Approvals and Acknowledgments Tab.
5. Select the 'Start' button in 'Step 3: Rating Official - Document Communication to the Employee.'
6. Enter the date the communication to the employee occurred in the 'Communication Date' field.
7. Select the Communication Method from the 'Communication Method' drop down menu. If the Method is 'Other' complete the 'Other' text field.
8. Select the 'Transfer to Employee' button.
9. Select the 'Return to Main Page' button to start a new process or select the 'Logout' link to end your session.

**Retrieve a Performance Plan or Appraisal**

1. Begin at the Performance Appraisal Application Main Page.
2. Select 'Retrieve' from the 'Action' drop down menu for the plan listed and select the 'Go' button.

**Change the Rating Official and Higher Level Reviewer**

1. Begin at the Performance Appraisal Application Main Page.
2. Select 'Change RO and/or HLR' from the 'Action' drop down menu for the plan listed and select the 'Go' button.
3. Change the Rating Official Name or the Higher Level Reviewer using the 'Flashlight' icon.
4. Select the 'Return to Main Page' button to continue with the process or select the 'Logout' link to end your session.

**Transfer to the Employee**

1. Begin at the Performance Appraisal Application Main Page.
2. Select 'Transfer to Employee' from the 'Action' drop down menu for the plan listed and select the 'Go' button.
3. Type an e-mail message to the employee indicating that you are transferring the Performance Plan.
4. Select the 'Transfer to Employee' button at the top of the E-Mail Notification Page.

**Document Employee Acknowledgment**

1. Begin at the Performance Appraisal Application Main Page.
How Do I...Complete Interim Review Activities?

Create an Interim Review

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

Note: The PAA Status is 'Plan Approved'.

3. Select the Approvals and Acknowledgments Tab.
4. Select the 'Start' button in 'Step 4: Rating Official - Document Employee Acknowledgment.'
5. Select the 'Return to Main Page' button to start a new process or select the 'Logout' link to end your session.

Assess an Employee's Job Objectives

1. Select the Interim Reviews Tab to list the employee's Interim Reviews.
2. Select the 'Update' button for the selected Interim Review.
3. Select the Assessments Tab to list the employee's Job Objectives and assessments.
4. Select the radio button next to each Job Objective to display the Job Objective and the employee's self-assessment.
5. Enter your evaluation for each Job Objective.
6. Select the 'Transfer to Employee' button at the top of the screen.

Document Communication of the Interim Review to the Employee

Note: The PAA Status is 'Interim Approved by HLR'.

1. Select the Interim Reviews Tab to list the employee's Interim Reviews.
2. Select the 'Update' button for the selected Interim Review.
3. Select the Approvals and Acknowledgments Tab.
4. Select the 'Start' button in 'Step 3: Rating Official - Document Communication to the Employee.'
5. Enter the date the communication to the employee occurred in the 'Communication Date' field.
6. Select the Communication Method from the 'Communication Method' drop down menu. If the Method is 'Other' complete the 'Other' text field.
7. Select the 'Save and Transfer to Employee for Acknowledgment' button.
8. Select the 'Return to Main Page' button to start a new process or select the 'Logout' link to end your session.
How Do I Complete...Annual Appraisal Activities?

Rate an Employee's Job Objectives

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee’s existing Performance Plan by selecting 'Update' from the 'Action' drop down and select the 'Go' button.

Note: The PAA Status will be 'Interim Review Completed'.

3. Select the Annual Appraisal tab to list the employee’s Job Objectives.
4. Select the radio button next to each Job Objective to display the Job Objective and the employee’s self-assessment.
5. Enter your evaluation.
6. Recommend a Job Objective Rating.
7. Repeat steps 4 through 6 until all Job Objectives are evaluated and rated.

How Do I...Complete Closeout Assessment Activities?

Note: You only complete a Closeout Assessment if your employee is transferring to another Rating Official.

Create a Closeout Assessment

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

Note: The PAA Status is 'Plan Approved'.

3. Select the Other Assessments Tab.
4. Select the 'Create Closeout Assessment' button.
5. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.

Assess an Employee's Job Objectives

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu for the plan listed and select the 'Go' button.

Note: The PAA Status is 'Closeout in Progress'.

3. Select the Other Assessments Tab to list the employee's Other Assessments.
4. Select the 'Update' button for the selected Closeout Assessment.
5. Select the Job Objectives Tab to list the employee's Job Objectives.
6. Select the radio button next to each Job Objective to display the Job Objective and the employee's self-assessment, if written.
7. Enter or revise your evaluation of the Job Objective.
8. Repeat Steps 6 and 7 for each Job Objective.
9. Select the 'Transfer to Employee' button at the top of the screen.
Document Completion of a Closeout Assessment

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

Note: The PAA Status is 'Closeout in Progress'.

3. Select the Other Assessments Tab to list the employee's Other Assessments.
4. Select the 'Update' button for the selected Closeout Assessment.
5. Select the Communications and Acknowledgments Tab.
6. In 'Step 2: Rating Official - Document Communication to the Employee' select the 'Start' button.
7. Enter the date the communication to the employee occurred in the 'Communication Date' field.
8. Select the Communication Method from the 'Communication Method' drop down menu. If the Method is 'Other' complete the 'Other' text field.
9. Select the 'Save and Transfer to Employee' button.
   Select the 'Return to Main Page' button to start a new process with the process or select the 'Logout' link to end your session.
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How Do I Complete...Performance Plans and Appraisals?

Approve a Performance Plan

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

Note: The PAA Status is 'Plan Pending HLR Approval'.

3. Select any of the tabs to review information about the Performance Plan: the Plan Details Tab, the Mission Goals Tab, the Job Objectives Tab.
4. Select the Job Objectives Tab to list and review the employee's Job Objectives.
5. Select the radio button next to each Job Objective to display the Job Objective.
6. Select the Approvals and Acknowledgments Tab.
7. In 'Step 2: Higher Level - Review' select the 'Approve' button.
8. Type an e-mail message to the Rating Official, indicating your approval, if you want to use the e-mail option.
9. Select the 'Transfer to Rating Official' button.

Return a Performance Plan to Rating Official for Correction

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.
3. Select any of the tabs to review information about the Performance Plan: the Plan Details Tab, the Mission Goals Tab, the Job Objectives Tab.
4. Select the Job Objectives Tab to list the employee's Job Objectives and to review the Job Objectives.
5. Review the employee's self-assessments and the Rating Official's Evaluations
6. Select the Approvals and Acknowledgments Tab.
7. In 'Step 2: Higher Level - Review' select the 'Return for Change' button.
8. Type an e-mail message to the Rating Official, explaining your request for a change, if you want to use the e-mail option.
9. Select the 'Transfer to Rating Official' button.

Approve an Interim Review

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

Note: The PAA Status is 'Interim Pending HLR Approval'.

3. Select any of the tabs to review information about the Performance Plan: the Plan Details Tab, the Mission Goals Tab, the Job Objectives Tab.
4. Select Interim Reviews Tab.
5. Select the Assessments Tab to list the employee's Job Objectives and assessments.
6. Select the radio button next to each Job Objective to display the Job Objective.
7. Review each Job Objective focusing on the employee's and Rating Official’s assessments of the Job Objectives.
8. Select the Approvals and Acknowledgments Tab.
9. In 'Step 2: Higher Level - Review' select the 'Approve' button.
10. Type an e-mail message to the Rating Official, indicating your approval, if you want to use the e-mail option.
11. Select the 'Transfer to Rating Official' button.

**Return an Interim Review to Rating Official for Correction**

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

**Note:** The PAA Status is 'Interim Review Pending HLR Approval'.

3. Select any of the tabs to review information about the Performance Plan: the Plan Details Tab, the Mission Goals Tab, the Job Objectives Tab.
4. Select Interim Reviews Tab.
5. Select the Assessments Tab to list the employee's Job Objectives and assessments.
6. Select the radio button next to each Job Objective to display the Job Objective.
7. Review each Job Objective focusing on the employee's and Rating Official's assessments of the Job Objectives.
8. Select the Approvals and Acknowledgments Tab.
9. In 'Step 2: Higher Level - Review' select the 'Return for Change' button.
10. Type an e-mail message to the Rating Official, explaining your request for a change, if you want to use the e-mail option.
11. Select the 'Transfer to Rating Official' button.

**Review an Annual Appraisal, including Recommended Job Objective Ratings**

1. Begin at the Performance Appraisal Application Main Page.
2. Open an employee's existing Performance Plan by selecting 'Update' from the 'Action' drop down menu and select the 'Go' button.

**Note:** The PAA Status is 'Appraisal Pending HLR Approval'.

3. Select the Plan Tab.
4. Select any of the tabs to review information about the Performance Plan: the Plan Details Tab, the Mission Goals Tab, the Job Objectives Tab.
5. Select the Appraisal Tab.
6. Select the Assessments Tab to list the employee's Job Objectives and recommended ratings.
7. Select the radio button next to each Job Objective to display the Job Objective, the employee's self-assessment, the Rating Official's evaluation, the Job Objective rating.
8. Select the 'Next' button to continue with the process or select the 'Logout' link to end your session.
FREQUENTLY ASKED QUESTIONS

Why are we changing? Is the new Performance Appraisal Program required?

Good performance management requires a clear understanding of one’s job and a set of clearly defined performance expectations. The new performance management system recognizes and rewards employee’s performance.

Per TPR 430, it is required to move to a 5 tier system.

What’s in it for me (WIIFM)?

Employees have the opportunity to advocate for themselves utilizing the self assessment.

Furthermore, a Higher Level reviewer has input throughout the rating period to keep the appraisal program fair and equitable.

Rating system allows for higher average rating (rounded up).

How do you stop the good ole boy system?

Supervisor is required to provide narrative comments for each critical element and for the overall rating.

Furthermore, a Higher Level reviewer has input throughout the rating period to keep the appraisal program fair and equitable.

Who will and how will it be trained?

Members of the Human Resource Office who are subject matter experts in the program will conduct the training throughout the summer of 2010.

Training will take place throughout the state in the Distant Learning Classrooms and at the 133rd and 148th air wings. Training events are 1 day in length.

Isn’t this new program accessed by using a computer? Everyone in our shop doesn’t have a computer?

The MNNG is working hard to provide computers and access to those locations.

DLN Labs and HRO support and assistance is always available.

Is there a benefit to having more than two critical elements on my performance plan?

With a five – tier performance plan and averaging out three critical elements, the employee has the opportunity to receive a QSI without all the critical elements being rated as outstanding.
FREQUENTLY ASKED QUESTIONS

What does the self assessment option offer me?

Employee has the opportunity to advocate for him/her self and describe how their accomplishments met or exceed the critical elements and performance standards.

Furthermore, the higher level reviewer has visibility of the employee’s achievements in order to assess against the supervisor’s narratives.

What are the impacts of going from a three tier system to a five tier system?

The key feature of the new performance management system is the philosophy, not the tool. The new philosophy requires organizations to identify, measure, and report on their efforts to continuously improve the performance of their major programs, focuses on results, and which processes best accomplish these results, and; calls for a performance management and awards system that identifies and rewards the organizations employees.

Furthermore, the new system allows employees to be rated at a more favorable average rating (5 vs. 3), resulting in higher rating for the employee and thus he/she may be in consideration for an incentive award.
WHERE TO GO FOR INFORMATION!!
RESOURCES

- Resources available on the J1 HRO SharePoint page under “Shared Documents” & “Performance Appraisal Program Resources.”

- Journal Self Assessment – A self assessment journal available in Microsoft Word.

- Self Assessment Pamphlet – A pamphlet with detailed instructions on self assessments.

- Critical Elements Pamphlet – A pamphlet with detailed instructions on critical elements.

- TPR 430 – National Guard Technician Performance Appraisal Program regulation.

- Guidance When adding Supervisory Duties to Non-Supervisory Positions – TPR 511 permits Human Resource Offices to authorize “minor changes to National Guard technician position descriptions that do not affect the classification (pay plan, title, series, and grade) of the position”.

- Interim Period of Non-Rated Performance Guidance – As a result of the implementation of the new mandatory Performance Management System and five-tiered Performance Appraisal Application (PAA), there will be an interim period of non-rated time from the closeout of our current rating period on 31 March 2010 to the start of the new rating cycle of 1 October 2010.

- DCPDS Portal – Log In for My Biz / My Workplace.
  https://compo.dcpds.cpms.osd.mil

- DCPDS Self Service – DCPDS user guide, FAQs, terms and definitions, and brochure.

REFERENCES

- TPR 430 – National Guard Technician Performance Appraisal Program